

ACCEPTED MANUSCRIPT



A micro-epidemiological analysis of febrile malaria in Coastal Kenya showing hotspots within hotspots

Philip Bejon, Thomas N Williams, Christopher Nyundo, Simon I Hay, David Benz, Peter W Gething, Mark Otiende, Judy Peshu, Mahfudh Bashraheil, Bryan Greenhouse, Teun Bousema, Evasius Bauni, Kevin Marsh, David L Smith, Steffen Borrmann

DOI: <http://dx.doi.org/10.7554/eLife.02130>

Cite as: eLife 2014;10.7554/eLife.02130

Received: 19 December 2013

Accepted: 1 April 2014

Published: 24 April 2014

This PDF is the version of the article that was accepted for publication after peer review. Fully formatted HTML, PDF, and XML versions will be made available after technical processing, editing, and proofing.

This article is distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use and redistribution provided that the original author and source are credited.

Stay current on the latest in life science and biomedical research from eLife.
[Sign up for alerts](#) at elife.elifesciences.org

1 **Title:** A micro-epidemiological analysis of febrile malaria in Coastal Kenya showing
2 hotspots within hotspots

3 **Authors:** Philip Bejon^{1,2,*}, Tom N Williams^{1,3}, Christopher Nyundo¹, Simon I Hay⁴, David
4 Benz⁴, Peter W Gething⁴, Mark Otiende¹, Judy Peshu¹, Mahfudh Bashraheil¹, Bryan
5 Greenhouse⁵, Teun Bousema^{6,7}, Evasius Bauni¹, Kevin Marsh^{1,2}, David L Smith⁸, Steffen
6 Borrmann^{1,9,10}

7

8 **Affiliations:**

- 9 1. Kilifi KEMRI-Wellcome Trust Collaborative Research Programme, Kenya
- 10 2. Centre for Clinical Vaccinology and Tropical Medicine, University of Oxford, UK
- 11 3. Imperial College London, UK
- 12 4. Spatial Ecology and Epidemiology Group, Dept of Zoology, University of Oxford, UK
- 13 5. Dept Medicine, University of California, San Francisco, CA, USA
- 14 6. London School of Hygiene and Tropical Medicine, UK
- 15 7. Radboud University Nijmegen Medical Centre, the Netherlands
- 16 8. John Hopkins Malaria Research Institute, Baltimore, Maryland, USA
- 17 9. Institute for Tropical Medicine, University of Tübingen, Germany
- 18 10. German Centre for Infection Research, Tübingen, Germany

19 * Corresponding author: Philip Bejon, KEMRI-Wellcome Trust Research Programme, Kilifi,
20 Kenya, PO Box 230. Tel +254 724 445 743. Email: pbejon@kemri-wellcome.org

21

22 **Abstract:** Malaria transmission is spatially heterogeneous. This reduces the efficacy of
23 control strategies, but focusing control strategies on clusters or "hotspots" of transmission
24 may be highly effective. Among 1,500 homesteads in coastal Kenya we calculated a) the
25 fraction of febrile children with positive malaria smears per homestead, and b) the mean
26 age of children with malaria per homestead. These two measures were inversely
27 correlated, indicating that children in homesteads at higher transmission acquire immunity
28 more rapidly. This inverse correlation increased gradually with increasing spatial scale of
29 analysis, and hotspots of febrile malaria were identified at every scale. We found hotspots
30 within hotspots, down to the level of an individual homestead. Febrile malaria hotspots
31 were temporally unstable, but 4km radius hotspots could be targeted for one month
32 following one month periods of surveillance.

33

34 **Introduction**

35 The transmission of infectious disease often shows substantial heterogeneity (Woolhouse, Dye et
36 al. 1997). Malaria transmission is determined by mosquito ecology and behavior, which is in turn
37 determined by rainfall, hydrology, soils, human behavior and population distributions, and a
38 range of other social, biotic and abiotic factors. Heterogeneity of malaria transmission is
39 apparent at global scale (Gething, Patil et al. 2011), regional scale (Kleinschmidt, Omumbo et al.
40 2001; Noor, Gething et al. 2009), and at fine scale in, for instance, Mali (Gaudart, Poudiougou et
41 al. 2006), Ghana (Kreuels, Kobbe et al. 2008), Ethiopia (Yeshiwondim, Gopal et al. 2009) Kenya
42 (Brooker, Clarke et al. 2004; Ernst, Adoka et al. 2006; Bejon, Williams et al. 2010) and Tanzania
43 (Bousema, Drakeley et al. 2010). This spatial heterogeneity makes transmission relatively
44 resilient to indiscriminate control efforts, but also provides an opportunity to engage in targeted

45 malaria control on clusters of transmission (or “hotspots”), a strategy that is predicted to be
46 highly effective (Dye and Hasibeder 1986; Woolhouse, Dye et al. 1997).

47
48 We have previously identified hotspots of malaria using active surveillance (Bejon, Williams et
49 al. 2010). Others have identified hotspots using passive surveillance in health facilities linked to
50 demographic surveillance systems (Ernst, Adoka et al. 2006). Passive surveillance is more
51 readily scaled up, but may be biased by variations in access to health care facilities and socially-
52 determined health seeking behavior (Sumba, Wong et al. 2008; Franckel and Lalou 2009). The
53 incidence of febrile malaria presenting to health care is thus biased by access to care. This bias
54 may be countered by using the malaria positive fraction (MPF) among children with fever (also
55 termed “slide positivity rate” in some publications (Jensen, Bukirwa et al. 2009)). The MPF
56 includes all febrile children presenting to the dispensary as the denominator, hence controlling
57 for access to health care, in contrast to incidence for which all children in the community are
58 included in the denominator. The MPF is less likely to show systematic spatial bias with
59 distance from the health facility since parental accounts of illness have not been found to
60 discriminate malaria from non-malarial fever (Luxemburger, Nosten et al. 1998; Mwangi,
61 Mohammed et al. 2005), and diagnostic testing is not available outside the dispensary.

62
63 We present data from demographic surveillance linked to passive case detection in Pingilikani
64 dispensary in Kilifi District, coastal Kenya. Data are collected from 1,500 homesteads within an
65 8km radius followed for 9 years. We analyse the spatial heterogeneity of malaria cases in order
66 to determine the temporal and spatial scales of case clustering so as to inform targeting in
67 malaria control programmes. We also excluded visits with specific symptoms such as skin

68 infections or cutaneous abscesses, otitis media and gastroenteritis (>4 episodes diarrhea per day)
69 that might have been the primary motivation for seeking health care rather than fever per se.

70

71 **Results**

72 Among ~20,000 remaining febrile presentations from ~1,500 different residences, 54% were
73 positive for *Plasmodium falciparum* on blood smear examination. Using homestead as our unit
74 of analysis, we found that the incidence of dispensary attendance declined with distance from the
75 dispensary (on average -0.040 (95%CI 0.036-0.044) and -0.041 (95%CI 0.037-0.046) episodes
76 per child year for each km for malaria smear positive and negative attendees, respectively). MPF
77 was not found to vary significantly by distance of residence from the dispensary (from
78 MPF=0.50, 95%CI 0.47 to 0.54 at <2km distance to MPF=0.52, 95%CI 0.47 to 0.57 at 6-7km,
79 p=0.7).

80

81 The spatio-temporal distribution of MPF by homestead is shown in video 1 (slow speed) and
82 video 2 (fast speed). The visual impression from these clips suggests marked spatial variation,
83 with some geographical areas showing persistently high MPFs, and other areas showing more
84 marked temporal variation. Temporally stable spatial heterogeneity would be expected to lead to
85 spatial heterogeneity in the acquisition of immunity, which may be evidenced by variation in the
86 age profiles of children with febrile malaria. We therefore tested this hypothesis as below.

87

88 *Spatial heterogeneity in malaria risk and acquisition of immunity*

89 MPF was inversely correlated with the average age of children with malaria (Spearman's rank
90 correlation (r_s) =-0.16, p<0.0001 (Figure 1, a, b, c). This suggests that greater exposure to

91 malaria (i.e. high MPF) leads to more rapid acquisition of immunity as children grow up, hence
92 predominantly younger children visiting the dispensary with febrile malaria. There was no
93 evidence that this relationship was confounded by spatial clustering of age: the average age of
94 children with non-malarial fever did not show spatial clustering (Moran's $I=0.01$, $p=0.5$ within 1
95 km and Moran's $I=0.02$, $p=0.5$ within 5 km) and was not associated with MPF ($r_s=-0.02$, $p=0.4$).
96 We examined the effect of spatial scale at which this correlation occurred by imposing grids of
97 increasing cell size on the study area, calculating r_s within each cell of the grid, and then
98 estimating the mean r_s at each scale of grid (Figure 1d, blue lines). The mean r_s trended
99 gradually away from 0 as the grid divisions became larger in scale. This pattern suggests gradual
100 differentiation in transmission characteristics as the distance between homesteads included
101 within a cell of the grid increases. We then examined the patterns seen on applying this analysis
102 to simulated data. In order to exclude that this trend was a result of cells at fine-scale containing
103 fewer homesteads, we ran permutations of the data using after randomly re-assigning spatial
104 coordinates to the homesteads. These permutations show that a consistent correlation at $r_s = -0.16$
105 throughout the range of grid sizes, albeit with greater uncertainty with smaller cell size (Figure
106 1d, red lines). Hence the trend of a gradually increasing inverse correlation as the grid size
107 increases does not appear to be explained simply by having fewer homesteads in each cell at fine
108 scale. In order to determine the pattern that might be seen with specific spatial scales of
109 clustering, we conducted further simulations by imposed patterns with specific scales on the
110 spatial coordinates of the homesteads, in varying proportions with random noise using a gamma
111 distribution. These simulations show that a specific scale of clustering produces "spikes" in r_s as
112 the cell size varies, with the position of the spike coinciding with scale of the clustering (Figure 1
113 -figure supplement 1). Reducing the Signal:Noise ratio eventually obscured the "spikes" due to

114 a characteristic pattern, but only at the point where the overall correlation was no longer
115 discernible (Figure 1 -figure supplement 2). Adding a gradient to the simulated characteristic
116 scale attenuated but did not obscure the “spikes” (Figure 1 -figure supplement 3).

117

118 *Hotspots within hotspots*

119 Using the Bernoulli model in SaTScan(Kulldorff 1997) we identified a hotspot with a radius of
120 5.8km at $p < 0.00001$ (Figure 2a) using the full dataset (for which $n = 20,702$). However, on re-
121 analysis of the children within this hotspot (in which $n = 5,300$), we identified a further hotspot
122 (with a radius of 0.76km) within the 5.8km hotspot ($p < 0.00001$, Figure 2b). Then on further re-
123 analysis of the homesteads within that 0.76km hotspot (within which $n = 1,406$), we identified a
124 third significant hotspot ($p = 0.016$) which comprised a single homestead, in which there were 36
125 episodes of malaria compared with 3 malaria negative fevers (Figure 2d). When we selected a
126 random 5km square area outside the original 5.8km radius hotspot, we identified a hotspot within
127 this area a fourth hotspot with a 1.32km radius ($p < 0.00001$, Figure 2c).

128

129 In order to further explore the scale of spatial clustering, we plotted the semivariogram (Figure 2
130 -figure supplement 1) and the log-log transformed semivariogram (Figure 2 -figure supplement
131 2). These plots suggested linear fits for the semivariogram, suggesting that spatial clustering
132 occurred over a range of spatial scales.

133

134 *Temporal Trends of Spatial Heterogeneity*

135 We also examined temporal trends for individual homesteads (Figure 3). There was an inverse
136 correlation between the mean MPF and the variance in MPF over the 10 year study period ($r_s = -$

137 0.61, $p < 0.0001$, Fig 3a). The temporal trends for two subsets of homestead can be seen in figure
138 3b (stable high MPF) and figure 3c (unstable low MPF), suggesting that homesteads can be
139 characterized as stable high transmission homesteads or unstable low transmission homesteads.
140 Infant parasite rates have been proposed as a measure of transmission intensity that minimizes
141 the offsetting of acquired immunity in macro-epidemiological studies (Snow, Molyneux et al.
142 1996). We therefore hypothesized that the malaria positive fractions in children < 1 yr of age
143 (hereafter “MPF_{<1yr}”) would measure transmission intensity without the offsetting of acquired
144 immunity, and that unstable transmission would result in higher risk of malaria in older children.
145 To test this hypothesis, we calculated the mean MPF_{<1yr} and the variance in MPF_{<1yr} for each
146 homestead over the 9 years of follow up and tested the relationships between these metrics and
147 risk of malaria in older children in multivariable linear regression models.

148
149 In multivariable linear regression models MPF_{<1yr} was strongly correlated with MPFs in children
150 in the 1-2 year-old and 2-3 year-old age group, but progressively less strongly correlated with
151 MPF in older children (Figure 3di). The regression coefficient was ~ 0.4 for 1-2 year olds,
152 meaning that each unit increase in MPF_{<1yr} is associated with a 0.4 increase in the MPF for 1-2
153 year old children. On the other hand, the variance in MPF_{<1yr} was not correlated with MPFs in 1-
154 2 or 2-3 year old children, but was progressively more strongly correlated with MPF in older
155 children (Figure 3dii). Hence there were high stable transmission homesteads, with
156 predominantly younger children getting febrile malaria, and low unstable transmission
157 homesteads, with increasing risk to older children. This pattern of high stable versus low
158 unstable transmission also occurs between regions or countries, and demonstrates a similarity
159 between the micro and macro-epidemiology of malaria (Hay, Smith et al. 2008).

160

161 *Theoretical accuracy of targeted control undertaken at varying temporal and spatial scales.* We
162 then used our dataset to simulate the accuracy of targeting cases that a malaria control
163 programme might achieve on conducting surveillance over a defined period of time followed by
164 targeted control. We assumed that malaria control programmes would need to define *a priori* the
165 period of time to use for surveillance, and also to select a spatial scale at which to define
166 hotspots. For varying time periods and spatial scales, we determined the % of excess malaria
167 cases within the targeted hotspots compared with the surrounding area in the period of time
168 immediately following the simulated surveillance.

169

170 One week periods of surveillance (top left panel of figure 4) did not identify hotspots that are
171 still present the following week at fine spatial scales (i.e. the plotted line indicates that the
172 accuracy of targeting is 0% at scales of less than 1km). On the other hand, at larger spatial scales
173 we found that one week periods of surveillance were more accurate, resulting in the targeting of
174 areas with a 60% excess of new malaria cases compared with the surrounding area at a scale of
175 an 8 km diameter. A similar pattern was seen for monthly periods of surveillance. Longer
176 surveillance periods (e.g. 6 months) resulted in targeting areas with an excess of 20% malaria
177 cases compared with the surrounding area over the range of spatial scales examined.

178

179 *ITN use and spatial variation in risk*

180 Mass distributions of Insecticide Treated Nets (ITNs) in the area began in 2006. ITN use was
181 surveyed in 2009 and 2010. We found that children using ITNs had a reduced risk of malaria by
182 logistic regression (i.e. OR=0.69, 95%CI 0.67 to 0.8, $p<0.001$), in keeping with previous

183 literature on the personal protection provided by ITN use (Lim, Fullman et al. 2011). On the other
184 hand we did not identify significant evidence that ITN use was clustered spatially (Moran's
185 $I=0.02$, $p=0.5$). Furthermore, adding ITN use as a covariate in SaTScan analysis to locate
186 hotspots had little effect on results; the addition of ITN use as a covariate changed the location
187 of the hotspot by 120m, and changed the predicted radius of the hotspot from 5.4 to 5.2km. On
188 re-analysis of the homesteads within the 5.4km hotspot, a further 0.87km hotspot was identified
189 the position and radius of which were not altered by the inclusion of ITN use as a covariate.
190 Finally, within this 0.87km hotspot the same 7 homesteads were identified as a hotspot
191 irrespective of the inclusion of ITN use as a covariate. We did not identify significant evidence
192 that ITN use correlated mean $MPF_{<1yr}$ ($r_s = -0.04$, $p=0.04$) or with the variance in $MPF_{<1yr}$ ($r_s=-$
193 0.01 , $p=0.7$). Hence ITNs provided personal protection from malaria, but we were unable to
194 show that they explained the spatial micro-epidemiological patterns.

195

196 **Discussion**

197 We found that malaria cases were spatially heterogeneous in an 8km radius area of coastal
198 Kenya. The strongly significant inverse correlation between the malaria positive fraction (MPF)
199 and average age of children presenting with malaria suggests variable acquisition of immunity
200 between homesteads. Homesteads at high transmission intensity have a high MPF and a young
201 average age of malaria (with older children becoming immune and therefore not presenting to the
202 dispensary) whereas homesteads at low transmission intensity have a low MPF but an older
203 average age of malaria since older children are not becoming immune as rapidly. In theory, this
204 inverse correlation might have arisen because of heterogeneity at various spatial scales. For
205 instance, there might have been a block of homesteads all at high transmission in one half of the

206 study area (thus with high MPF and low average age) and a second block of homesteads at low
207 transmission in the other half (with low MPF and high average age). On the other hand, the
208 inverse correlation might have arisen because of a random distribution of “high” and “low”
209 transmission intensity homesteads throughout the study area.

210

211 In order to determine at which spatial scale transmission was heterogeneous, we conducted an
212 analysis where correlation coefficient was recalculated within each cell of a grid superimposed
213 on the study area. The mean correlation coefficient of all cells was then presented as the cell size
214 of the grid used was increased (Figure 1d). This analysis was done to identify the most
215 influential geographical scale at which the inverse correlation was observed. In simulated data,
216 we noted “spikes” where the inverse correlation was abruptly lost when the size of cells in the
217 grid coincides with the size of the geographical “blocks” of homesteads that drove the inverse
218 correlation, as seen in Figure 1, figure supplement 1. Similar spikes were seen after adding
219 simulated noise and gradients in space over which the correlation varied (figure supplements 2
220 and 3). Real-world data would contain more complex sources of variation than we have
221 simulated, and hence may not produce distinct spikes. Nevertheless, the analysis of these
222 simulations suggests that discontinuities in the correlation between MPF and average age of
223 malaria over cell size might be expected when clustering is at a specific spatial scale. In fact
224 there was no such discontinuity in the function shown in figure 1d, indicating that the inverse
225 correlation was present at every geographical scale examined within our study. It is likely that
226 this pattern would extend at greater geographical scales, since a similar inverse correlation
227 between the age distributions of malaria cases and transmission intensity can be seen on
228 comparing countries and regions (Okiro, Al-Taiar et al. 2009).

229

230

231 The pattern of spatial heterogeneity is relevant to malaria control, since targeted disease control
232 is predicted to be highly effective (Woolhouse, Dye et al. 1997). Spatial targeting is particularly
233 appropriate for malaria “hotspots” (Coleman, Mabuza et al. 2009; Moonen, Cohen et al. 2010;
234 Bousema, Griffin et al. 2012; Sturrock, Novotny et al. 2013) and many malaria control programs
235 are already engaged in spatially-targeted intervention (Zhou, Githeko et al. 2010; Loha, Lunde et
236 al. 2012). Our data showing clustering at varying spatial scales suggest that malaria control
237 programs can expect to identify hotspots at many different geographical scales. We demonstrate
238 that hotspots occur within hotspots, down to the level of a single homestead, and also that
239 hotspots can be identified on “zooming in” on random areas outside the main hotspot (Figure
240 2c). These hotspots were based on analysis of a large dataset with adequate power, and were
241 strongly significant based on the multiple permutations run in SaTScan, suggesting that type I
242 statistical error is an unlikely explanation for our findings. The complexity of presenting
243 “hotspots within hotspots” to a malaria control programme is further compounded by the
244 temporal instability of the spatial pattern (Figure 3).

245

246 We therefore simulated the accuracy with which hotspots could be targeted using varying spatial
247 scales and varying time periods of surveillance. We found that using data aggregated over one
248 month of surveillance to define 4 to 8 km diameter hotspots would provide greatest accuracy, but
249 this information is only relevant for one month before temporal instability necessitates further
250 surveillance. One might therefore consider a continuous programme of parallel surveillance and
251 targeting, where the surveillance data are examined at the end of each month to determine the

252 location to be targeted for the following month. Continuous surveillance would allow adaptive
253 targeting of hotspots for the following month. Such a strategy might be employed all year round,
254 or for a limited period of the year depending on local seasonality. (Cairns, Roca-Feltrer et al.
255 2012) Targeting at this spatial scale has the added practical advantage that it could be done with
256 village-level location data and would not require fine-scale geo-positional data.

257

258 There are some caveats to this recommendation. Our observations are from a single site. Other
259 sites should examine their local data to determine whether a similar targeting strategy is
260 appropriate. Furthermore, some hotspots did show temporal stability. For instance, we
261 identified a 6 km diameter hotspot south east of the dispensary that maintained a 30 to 60%
262 increase in MPF compared with the surrounding area throughout the 9-year surveillance.

263

264 Children with positive microscopy slides for malaria presenting at the dispensary may have
265 genuine febrile malaria, or alternatively may have chronic asymptomatic parasitaemia with co-
266 incident non-malarial fever. Previous studies estimating malaria attributable fractions in the
267 locality suggest 61% of the children in our analysis would have malaria as the proximate cause
268 of their illness, with the other 39% having chronic asymptomatic parasitaemia with co-incident
269 fever from another cause (Olotu et al, 2011). We have previously demonstrated that spatial
270 heterogeneity is more temporally stable when analysed for asymptomatic parasitaemia rather
271 than febrile malaria (Bejon et al, 2010). Targeting hotspots of asymptomatic parasitaemia would
272 require community surveys rather than dispensary monitoring, which may need to be done less
273 frequently than monitoring of febrile malaria episodes.

274

275 Furthermore MPF is not a comprehensive indicator of transmission intensity. Homesteads with
276 consistently low average ages of febrile malaria are likely to be stable high transmission
277 homesteads (such as those in subset p of Figure 3a) which amplify transmission in the areas
278 surrounding them. Targeting such high transmission homesteads to interrupt transmission may
279 be highly effective (Woolhouse, Dye et al. 1997). The stronger inverse correlation between MPF
280 and average age of febrile malaria as spatial scale increases (Figure 1) suggests that the spatial
281 heterogeneity of transmission is progressively more stable at more coarse spatial scales.

282

283 Malaria transmission is determined by mosquito ecology and behavior. Mosquito ecology may
284 be determined by obvious geographical features such as altitude (Reyburn, Mbatia et al. 2005),
285 cultivation practices (Lindsay, Wilkins et al. 1991), streams and dams (Ghebreyesus, Haile et al.
286 1999), wind direction (Midega, Smith et al. 2012) and mosquito searching behavior for hosts
287 (Smith, Dushoff et al. 2004). Ecological models based on such features have been developed
288 using frequentist techniques (Omumbo, Hay et al. 2005), Bayesian approaches (Craig, Sharp et
289 al. 2007) and fuzzy logic (Snow, Gouws et al. 1998). However, the same ecological factor may
290 act inconsistently in different geographical areas (Kleinschmidt, Sharp et al. 2001; Gemperli,
291 Sogoba et al. 2006; Noor, Clements et al. 2008), and the effect of ecological factors is modified
292 by fine-scale vector and host movement (Perkins, Scott et al. 2013). Our data suggests that the
293 environmental factors determining malaria transmission operate at a range of spatial scales. We
294 might speculate that mosquito breeding site density could be equally influenced by proximity to
295 a large geographical feature such as a river, or to a micro-geographical feature such as a cow
296 hoof-print (Sattler, Mtasiwa et al. 2005). Hence ecological models of malaria transmission will
297 need to include data at a range of spatial scales in order to accurately predict malaria risk.

298

299 **Methods**

300 Approval for human participation in these cohorts was given by Kenya Medical Research
301 Institute Ethics Research Committee, and research was conducted according to the principles of
302 the declaration of Helsinki.

303

304 *Study population*

305 Pingilikani Dispensary is 40km to the North of Mombasa, in Kilifi Country, Coast Province,
306 Kenya. The population relies mainly on subsistence farming and experiences all year round
307 malaria transmission, with “long” and “short” rains each year causing two peaks in transmission.
308 Estimates of the local EIR were 22-53 in 2003 (1), and 21.7 infective bites per person per year in
309 2010 (2). Between 2003 and 2011 data were collecting on all children (i.e. ≤ 15 years of age)
310 attending the dispensary.

311

312 Demographic surveillance is conducted for the 240,000 people in a 900 square kilometre area in
313 Kilifi County. Four-monthly enumeration rounds were conducted to identify births, deaths and
314 migration (3). Each inhabitant is described by their family relationships and their homestead of
315 residence, with geospatial coordinates, and assigned a unique personal identifier. These details
316 were used to link children visiting Pingilikani dispensary to geospatial coordinates for the
317 homestead of residence. During enumeration rounds in 2009-2011 ITN use per individual was
318 established during visits to the homestead, as reported by a homestead representative.

319

320 We restrict analysis to within an 8km radius of the dispensary, which accounted for >96% of all
321 visits to the dispensary, and excluded visits with specific symptoms such as skin infections or
322 cutaneous abscesses, otitis media and gastroenteritis (>4 episodes diarrhoea per day) that might
323 have been the primary motivation for seeking health care rather than fever per se. These latter
324 exclusions combined accounted for 14% of all visits.

325

326 *Malaria diagnosis and treatment*

327 All children presenting for assessment (except those with trauma as their only concern) had
328 finger-prick blood samples examined for malaria parasites. Thick and thin blood smears were
329 stained with 10% Giemsa and examined at x1000 magnification for asexual *Plasmodium*
330 *falciparum* parasites. 100 fields were examined before slides could be considered negative.

331 Amodiaquine was the first-line anti-malarial from 2003 to 2005, when policy changed to Co-
332 artemether.

333

334 *Analysis*

335 Fever was defined as either reported fever by the parents or measured fever, i.e. axillary
336 temperature $\geq 37.5^{\circ}\text{C}$ (Mackowiak, Bartlett et al. 1997). The malaria positive fraction (MPF)
337 was calculated as the fraction of febrile children attending the dispensary with fever who were
338 positive for malaria parasites by blood smear examination. MPF was aggregated by homestead.
339 Multiple identifications of fever and parasitaemia in the same child within 21 days were
340 considered a single episode.

341

342 The average age of febrile malaria was calculated as the arithmetic mean age at which children
343 visited the dispensary with fever and malaria parasites. Correlations between average age of
344 febrile malaria and MPF per homestead were calculated using spearman's rank correlation
345 coefficient. Grids of gradually increasing cell size were calculated using longitude and latitude
346 coordinates. Simulations were done using the distribution of homesteads identified in our study.
347 We applied a factor to MPF (positive) and average age (negative) to the homesteads within a
348 block of varying size to induce the appearance of clustering at a given spatial scale. Random
349 noise was added to these simulations using a gamma distribution. In the first round of
350 simulations we set the Signal:Noise ratio (i.e. the ratio between the factor applied to MPF and
351 average age versus the mean amplitude of the noise) to reproduce the r_s seen in the real data. In
352 the second round of simulations we varied the Signal:Noise Ratio as shown in individual panels,
353 and in the third round of simulations we introduced a gradient over which the correlation
354 emerged, where the factor applied to MPF and average age was tapered in a uniform way
355 towards 1 beginning at the edge of the simulated block.

356

357 Hotspots were defined using SaTScan software to calculate the spatial scan statistic (Kulldorff
358 1997). The software is freely available and can be downloaded from www.satscan.org. The
359 version used in this analysis was downloaded in November 2012, as v9.1 for a 64-bit system.
360 The spatial scan statistic uses a scanning window that moves across space. The scanning
361 windows are circles centred on each homestead, with a radius varied from inclusion of only the
362 single homestead it is centred on through to 30% of the population size. When using the
363 Bernoulli model, the software calculates the fraction of cases/ controls inside versus outside the
364 each possible scanning window, and selects the window giving the highest probability of a case

365 within the scanning window compared with the probability of a case outside the window. In
366 our application of the Bernoulli model, cases were febrile children with parasitaemia and
367 controls were febrile children without parasitaemia. The test of significance needs to take into
368 account the whole process of selecting the optimal window rather than simply the comparison of
369 inside versus outside the optimal window. This is achieved by running random permutations of
370 the case/control data over the spatial co-ordinates of homesteads and determining the log-
371 likelihood statistic for the model fit by the optimal window for each random permutation. The
372 log-likelihood statistic for the real data is then compared with the statistics on the random
373 permutations to derive a p value. We used 9999 replications in our study. The maximum
374 hotspot size was set at 30% of the population, and the inference level for significance was set at
375 0.05. The main analysis was done without adjustment for covariates, and a secondary analysis
376 was conducted for the 2009/2010 data with and without ITN use as a covariate. Kernel
377 smoothing with a 1km radius is used for spatial display graphs, but all analyses of correlation are
378 conducted on raw data without smoothing.

379

380 Semivariograms, Moran's I and linear regression models were run in Stata version 12
381 (StataCorp, Texas). Semivariograms were constructed using 0.1km intervals between 0.1km and
382 10km. Moran's I was assessed globally using cumulative bands of <0.1, <0.5, <1 and <2 and
383 <5kms.

384

385 **Conflicts of Interest:** There are no conflicts of interest. The funders had no role in study
386 design, data collection and analysis, decision to publish, or preparation of the manuscript.

387

388 **Acknowledgments:** Peter D Crompton is thanked for helpful comments during manuscript
389 drafting. The manuscript is published with the permission of the Director of KEMRI. PB is
390 jointly funded by the UK Medical Research Council (MRC) and the UK Department for
391 International Development (DFID) under the MRC/DFID Concordat agreement. Work in
392 Pingilikani was funded by the German Research Foundation (DFG, Grant number SFB 544, A7)
393 and by the Wellcome Trust. Bonston Piri and Epton Mwadori are thanked for their contributions
394 in making the geospatial data available.

395

396 **References:**

- 397 Bejon, P., T. N. Williams, et al. (2010). "Stable and unstable malaria hotspots in longitudinal
398 cohort studies in Kenya." Plos Med **7**(7): e1000304.
- 399 Bousema, T., C. Drakeley, et al. (2010). "Identification of hot spots of malaria transmission for
400 targeted malaria control." J Infect Dis **201**(11): 1764-1774.
- 401 Bousema, T., J. T. Griffin, et al. (2012). "Hitting hotspots: spatial targeting of malaria for control
402 and elimination." Plos Med **9**(1): e1001165.
- 403 Brooker, S., S. Clarke, et al. (2004). "Spatial clustering of malaria and associated risk factors
404 during an epidemic in a highland area of western Kenya." Trop Med Int Health **9**(7): 757-
405 766.
- 406 Cairns, M., A. Roca-Feltrer, et al. (2012). "Estimating the potential public health impact of
407 seasonal malaria chemoprevention in African children." Nat Commun **3**: 881.
- 408 Coleman, M., A. M. Mabuza, et al. (2009). "Using the SaTScan method to detect local malaria
409 clusters for guiding malaria control programmes." Malar J **8**(1): 68.

410 Craig, M. H., B. L. Sharp, et al. (2007). "Developing a spatial-statistical model and map of
411 historical malaria prevalence in Botswana using a staged variable selection procedure."
412 Int J Health Geogr **6**: 44.

413 Dye, C. and G. Hasibeder (1986). "Population dynamics of mosquito-borne disease: effects of
414 flies which bite some people more frequently than others." Trans R Soc Trop Med Hyg
415 **80**(1): 69-77.

416 Ernst, K. C., S. O. Adoka, et al. (2006). "Malaria hotspot areas in a highland Kenya site are
417 consistent in epidemic and non-epidemic years and are associated with ecological
418 factors." Malar J **5**: 78.

419 Franckel, A. and R. Lalou (2009). "Health-seeking behaviour for childhood malaria: household
420 dynamics in rural Senegal." J Biosoc Sci **41**(1): 1-19.

421 Gaudart, J., B. Poudiougou, et al. (2006). "Space-time clustering of childhood malaria at the
422 household level: a dynamic cohort in a Mali village." BMC Public Health **6**: 286.

423 Gemperli, A., N. Sogoba, et al. (2006). "Mapping malaria transmission in West and Central
424 Africa." Trop Med Int Health **11**(7): 1032-1046.

425 Gething, P. W., A. P. Patil, et al. (2011). "A new world malaria map: Plasmodium falciparum
426 endemicity in 2010." Malar J **10**: 378.

427 Ghebreyesus, T. A., M. Haile, et al. (1999). "Incidence of malaria among children living near
428 dams in northern Ethiopia: community based incidence survey." Bmj **319**(7211): 663-
429 666.

430 Hay, S. I., D. L. Smith, et al. (2008). "Measuring malaria endemicity from intense to interrupted
431 transmission." Lancet Infect Dis **8**(6): 369-378.

432 Jensen, T. P., H. Bukirwa, et al. (2009). "Use of the slide positivity rate to estimate changes in
433 malaria incidence in a cohort of Ugandan children." Malar J **8**: 213.

434 Kleinschmidt, I., J. Omumbo, et al. (2001). "An empirical malaria distribution map for West
435 Africa." Trop Med Int Health **6**(10): 779-786.

436 Kleinschmidt, I., B. L. Sharp, et al. (2001). "Use of generalized linear mixed models in the
437 spatial analysis of small-area malaria incidence rates in Kwazulu Natal, South Africa."
438 Am J Epidemiol **153**(12): 1213-1221.

439 Kreuels, B., R. Kobbe, et al. (2008). "Spatial variation of malaria incidence in young children
440 from a geographically homogeneous area with high endemicity." J Infect Dis **197**(1): 85-
441 93.

442 Kulldorff, M. (1997). "A spatial-scan statistic." Communications in Statistics: Theory and
443 Methods **26**: 1481-1496.

444 Lim, S. S., N. Fullman, et al. (2011). "Net benefits: a multicountry analysis of observational data
445 examining associations between insecticide-treated mosquito nets and health outcomes."
446 PLoS Med **8**(9): e1001091.

447 Lindsay, S. W., H. A. Wilkins, et al. (1991). "Ability of *Anopheles gambiae* mosquitoes to
448 transmit malaria during the dry and wet seasons in an area of irrigated rice cultivation in
449 The Gambia." J Trop Med Hyg **94**(5): 313-324.

450 Loha, E., T. M. Lunde, et al. (2012). "Effect of bednets and indoor residual spraying on spatio-
451 temporal clustering of malaria in a village in south Ethiopia: a longitudinal study." PLoS
452 ONE **7**(10): e47354.

453 Luxemburger, C., F. Nosten, et al. (1998). "Clinical features cannot predict a diagnosis of
454 malaria or differentiate the infecting species in children living in an area of low
455 transmission." Trans R Soc Trop Med Hyg **92**(1): 45-49.

456 Mackowiak, P. A., J. G. Bartlett, et al. (1997). "Concepts of fever: recent advances and lingering
457 dogma." Clin Infect Dis **25**(1): 119-138.

458 Midega, J. T., D. L. Smith, et al. (2012). "Wind direction and proximity to larval sites determines
459 malaria risk in Kilifi District in Kenya." Nat Commun **3**: 674.

460 Moonen, B., J. M. Cohen, et al. (2010). "Operational strategies to achieve and maintain malaria
461 elimination." Lancet **376**(9752): 1592-1603.

462 Mwangi, T. W., M. Mohammed, et al. (2005). "Clinical algorithms for malaria diagnosis lack
463 utility among people of different age groups." Trop Med Int Health **10**(6): 530-536.

464 Noor, A. M., A. C. Clements, et al. (2008). "Spatial prediction of Plasmodium falciparum
465 prevalence in Somalia." Malar J **7**: 159.

466 Noor, A. M., P. W. Gething, et al. (2009). "The risks of malaria infection in Kenya in 2009."
467 BMC Infect Dis **9**: 180.

468 Okiro, E. A., A. Al-Taiar, et al. (2009). "Age patterns of severe paediatric malaria and their
469 relationship to Plasmodium falciparum transmission intensity." Malar J **8**: 4.

470 Omumbo, J. A., S. I. Hay, et al. (2005). "Modelling malaria risk in East Africa at high-spatial
471 resolution." Trop Med Int Health **10**(6): 557-566.

472 Perkins, T. A., T. W. Scott, et al. (2013). "Heterogeneity, mixing, and the spatial scales of
473 mosquito-borne pathogen transmission." PLoS Comput Biol **9**(12): e1003327.

474 Reyburn, H., R. Mbatia, et al. (2005). "Association of transmission intensity and age with
475 clinical manifestations and case fatality of severe Plasmodium falciparum malaria." Jama
476 **293**(12): 1461-1470.

477 Sattler, M. A., D. Mtasiwa, et al. (2005). "Habitat characterization and spatial distribution of
478 Anopheles sp. mosquito larvae in Dar es Salaam (Tanzania) during an extended dry
479 period." Malar J **4**: 4.

480 Smith, D. L., J. Dushoff, et al. (2004). "The risk of a mosquito-borne infection in a
481 heterogeneous environment." PLoS Biol **2**(11): e368.

482 Snow, R. W., E. Gouws, et al. (1998). "Models to predict the intensity of Plasmodium falciparum
483 transmission: applications to the burden of disease in Kenya." Trans R Soc Trop Med
484 Hyg **92**(6): 601-606.

485 Snow, R. W., C. S. Molyneux, et al. (1996). "Infant parasite rates and immunoglobulin M
486 seroprevalence as a measure of exposure to Plasmodium falciparum during a randomized
487 controlled trial of insecticide-treated bed nets on the Kenyan coast." Am J Trop Med Hyg
488 **55**(2): 144-149.

489 Sturrock, H. J., J. M. Novotny, et al. (2013). "Reactive case detection for malaria elimination:
490 real-life experience from an ongoing program in swaziland." PLoS ONE **8**(5): e63830.

491 Sumba, P. O., S. L. Wong, et al. (2008). "Malaria treatment-seeking behaviour and recovery
492 from malaria in a highland area of Kenya." Malar J **7**: 245.

493 Woolhouse, M. E., C. Dye, et al. (1997). "Heterogeneities in the transmission of infectious
494 agents: implications for the design of control programs." Proc Natl Acad Sci U S A
495 **94**(1): 338-342.

496 Yeshiwondim, A. K., S. Gopal, et al. (2009). "Spatial analysis of malaria incidence at the village
497 level in areas with unstable transmission in Ethiopia." Int J Health Geogr **8**: 5.
498 Zhou, G., A. K. Githeko, et al. (2010). "Community-wide benefits of targeted indoor residual
499 spray for malaria control in the western Kenya highland." Malar J **9**: 67.

500

501 **Rich Media File Legends:**

502

503 Video 1

504 Each plotted point represents an individual homestead, where the color shading indicates the
505 malaria positive fraction (MPF), with red shading for high MPF and blue shading for low MPF.
506 Points change color each year.

507

508 Video 2

509 Each plotted point represents an individual homestead, where the color shading indicates the
510 malaria positive fraction (MPF), with red shading for high MPF and blue shading for low MPF.
511 Points change color each year. The frames are identical to those in video 1, but move more
512 rapidly.

513

514 **Figure Legends:**

515

516 Figure Legend 1

517 Each plotted point represents an individual homestead, where the color shading indicates the
518 malaria positive fraction (MPF) in panel a, or the average age of children who test positive for

519 malaria in panel b. Panel c shows the scatter plot for MPF versus average age (Spearman's rank
520 correlation coefficient (r_s) = -0.16, $p < 0.0001$). Panel d shows r_s (y axis) plotted against scale of
521 analysis (x axis), where a grid with varying cell size is imposed on the study area, r_s is calculated
522 within each cell and then the mean r_s presented, with 95% confidence intervals produced by
523 boot-strap (blue solid and dashed lines, respectively), and the results of analysis of spatially-
524 random permutations of the data with equivalent cell size are shown for comparison (red solid
525 and dashed lines, respectively). The analysis shown in panel d was compared on simulations
526 with varying simulated characteristic scales, signal:noise ratios and with added gradients (Figure
527 supplements 1,2 and 3, respectively).

528

529 Figure 1 –figure supplement 1

530 Simulated data using imposed spatial clustering at specific scales are analysed to determine r_s (y
531 axis) plotted against scale of analysis (x axis), where a grid with varying cell size is imposed on
532 the study area, r_s is calculated within each cell and then the mean r_s presented, with 95%
533 confidence intervals produced by boot-strap (blue solid and dashed lines, respectively). The six
534 panels show the appearances of different imposed scales as shown in the sub-titles.

535

536 Figure 1 –figure supplement 2

537 Simulated data using imposed spatial clustering at specific scales are analysed to determine r_s (y
538 axis) plotted against scale of analysis (x axis), where a grid with varying cell size is imposed on
539 the study area, r_s is calculated within each cell and then the mean r_s presented, with 95%
540 confidence intervals produced by boot-strap (blue solid and dashed lines, respectively). The six
541 panels show the appearances using different signal:noise ratios.

542 Figure 1 –figure supplement 3

543 Simulated data using imposed spatial clustering at specific scales are analysed to determine r_s (y
544 axis) plotted against scale of analysis (x axis), where a grid with varying cell size is imposed on
545 the study area, r_s is calculated within each cell and then the mean r_s presented, with 95%
546 confidence intervals produced by boot-strap (blue solid and dashed lines, respectively). The six
547 panels show the appearances using gradients of varying spatial scales around the simulated
548 clustering.

549

550 Figure Legend 2.

551 Each plotted point represents an individual homestead, where the color shading indicates the
552 malaria positive fraction (MPF). Hotspots are identified using SATScan, using the whole study
553 area (panel a), then repeated within the hotspot (panel b), within the hotspot of panel b (panel d),
554 and then within a randomly chosen area outside the hotspot (panel c). The semi-variogram and
555 log-log semi-variogram plot are shown in figure supplements 1 and 2, respectively.

556

557 Figure 2 –figure supplement 1

558 The semi-variogram is shown for MPF. A lowess smoothed line is superimposed on the data
559 points.

560

561 Figure 2 –figure supplement 2

562 The log-log plot of the semi-variogram is shown for MPF. A lowess smoothed line is
563 superimposed on the data points.

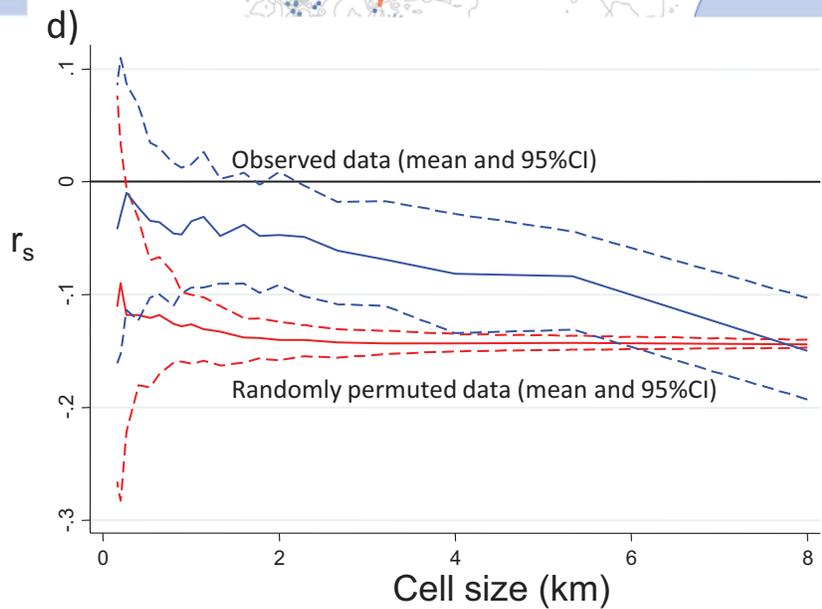
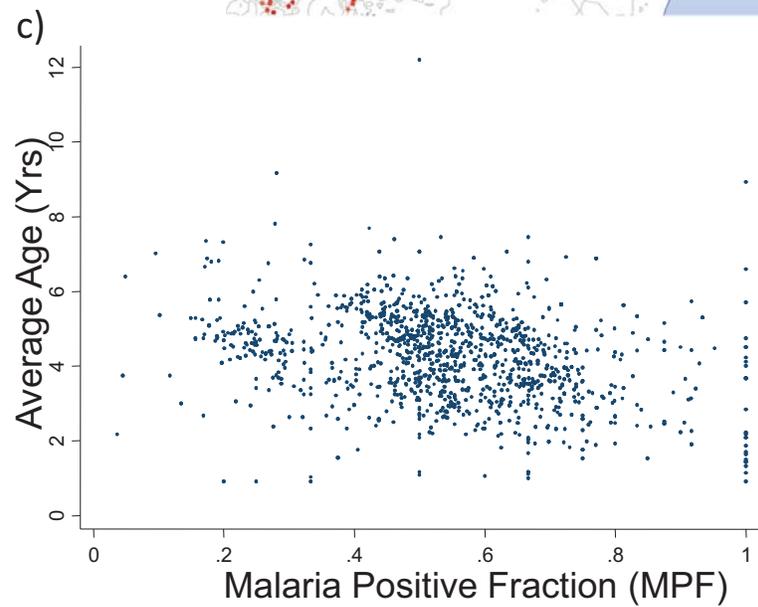
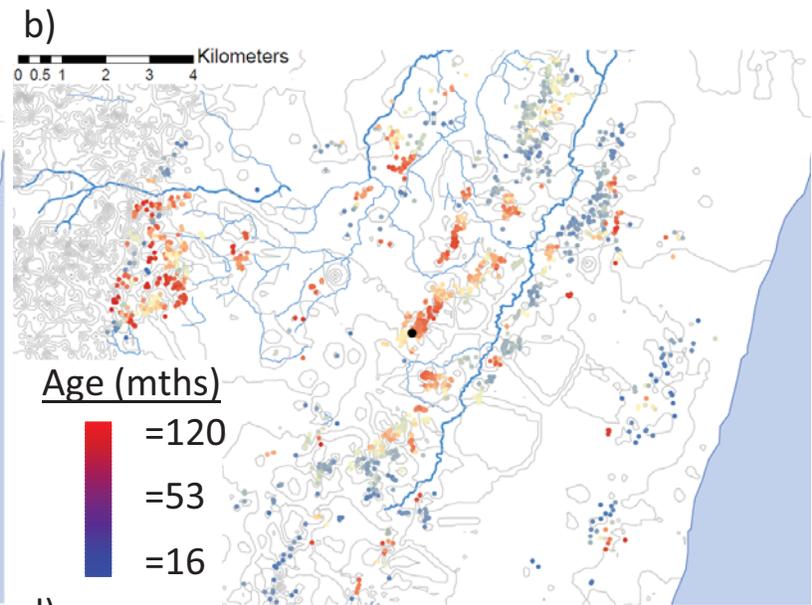
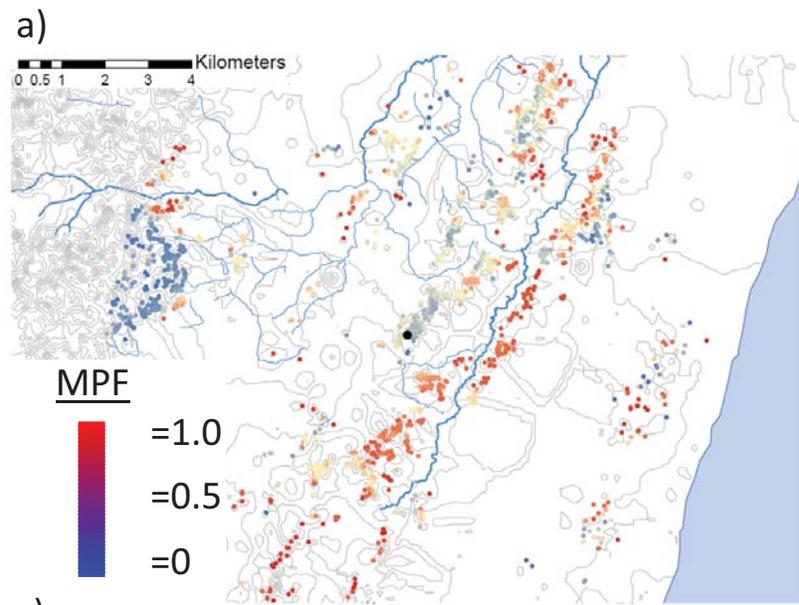
564 Figure Legend 3

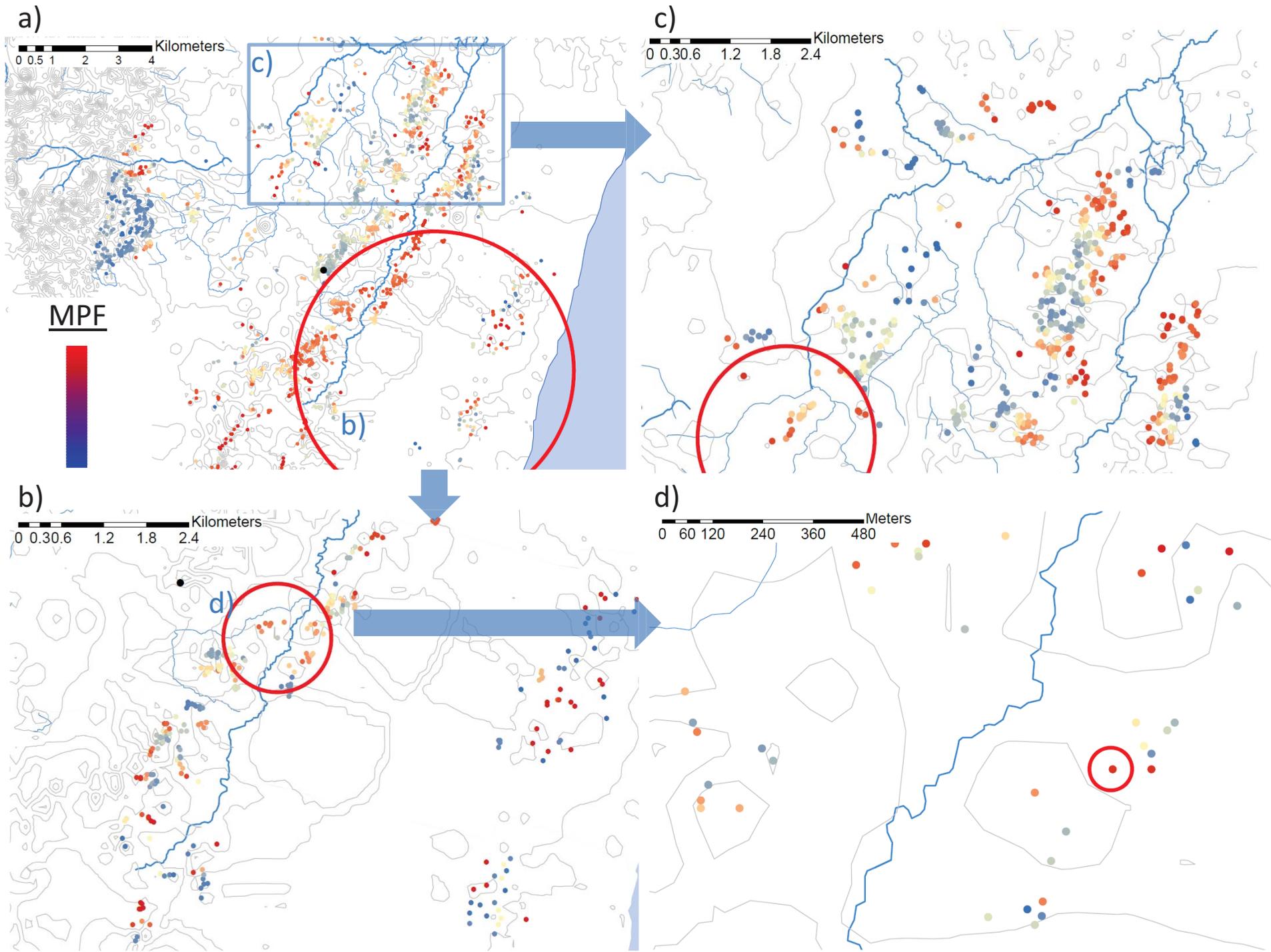
565 Panel a) shows the scatter plot of individual homesteads by mean malaria positive fraction
566 (MPF) on the x axis vs variance in MPF on the y axis ($r_s = -0.61$, $p < 0.0001$). A labelled blue
567 circle indicates subset q (homesteads with high variance but low mean MPF) and subset p
568 (homesteads with low variance and high mean MPF). The temporal trends for these two subsets
569 are shown on panels b) and c), respectively. The median trend for the study area is shown in red.
570 Panel d) shows the regression coefficients (y axis) for the malaria positive fractions (MPF) in
571 older children when regressed on; i) the mean MPF in children < 1 yr of age ($MPF_{<1y}$) and ii)
572 MPF in older children when regressed on the variance in $MPF_{<1y}$ over the 9 years of the study.
573 Separate multivariable regression models (i.e. with mean $MPF_{<1y}$ and variance in $MPF_{<1y}$ as
574 explanatory variables) are fit for each age group as shown on the x axis (excluding children < 1 yr
575 of age, whose data are used to calculate $MPF_{<1y}$).

576 Figure Legend 4

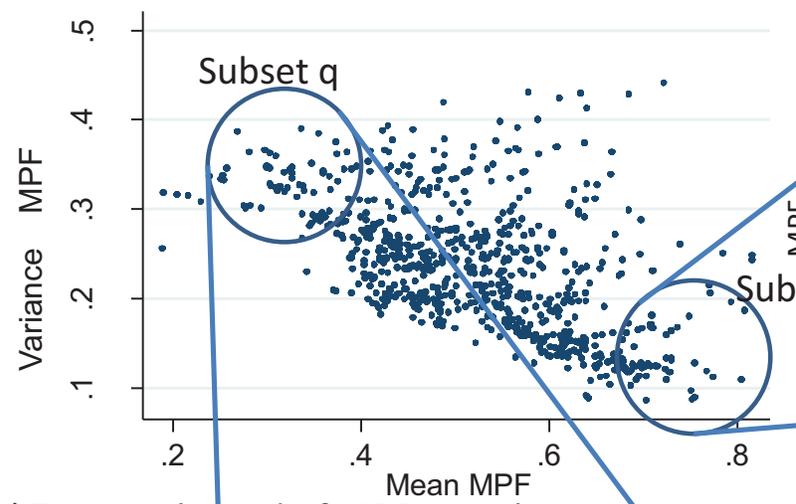
577 The accuracy of varying strategies of hotspot identification is shown. Each panel is labeled with
578 the time period of surveillance data used. The x axis shows the diameter of hotspot defined. In
579 each case hotspots were selected to account for 20% of the homesteads in the area. The y axis
580 shows the increase that would have been present assuming that they were targeted in the time
581 period following their identification.

582

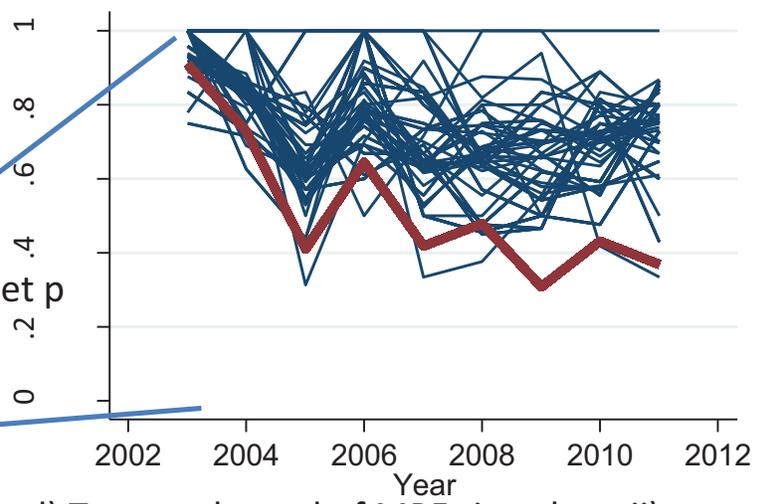




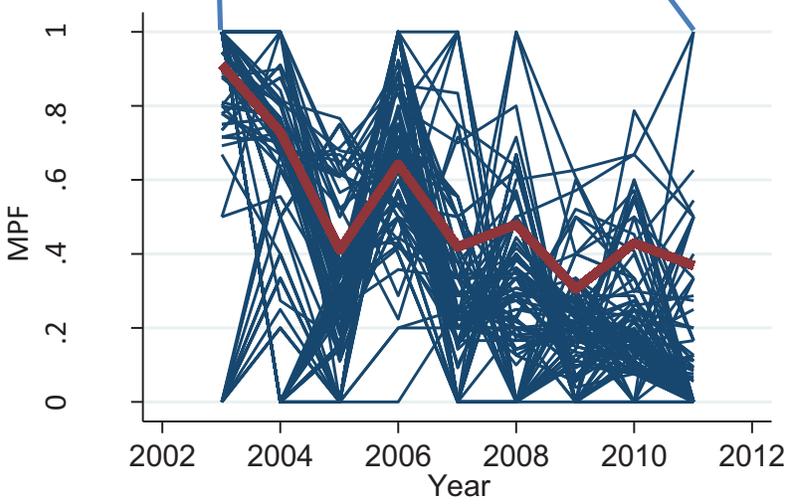
a) Mean MPF vs Variance in MPF



b) Temporal trend of MPFs in subset p



c) Temporal trend of MPFs in subset q



d) Temporal trend of MPFs in subset ii)

